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BT  
11-14-01

Attorney's Docket No. 5577-221

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

In re: Antes, et. al.

SEP 19 2001

Serial No.: 09/764,790

Group Art Unit: 2151

Filed: January 17, 2001

Technology Center 2100

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
PROVIDING FAILURE RECOVERY OF NETWORK SECURE  
COMMUNICATIONS IN A CLUSTER COMPUTING ENVIRONMENT**



Date: September 14, 2001

Commissioner for Patents  
Washington, DC 20231

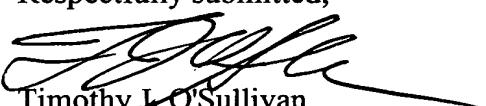
**INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No item of information contained in this Statement was cited from a foreign patent office in a counterpart foreign application.

Respectfully submitted,



Timothy L. O'Sullivan  
Registration No. 35,632

**Customer Number:**

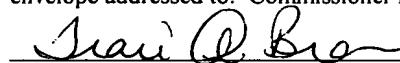


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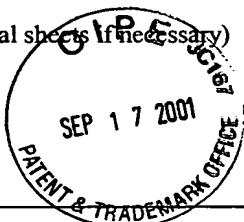
PATENT TRADEMARK OFFICE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on September 14, 2001.

  
Traci A. Brown  
Date of Signature: September 14, 2001

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office		Attorney Docket Number 5577-221	Serial No. 09/764,790
LIST OF DOCUMENTS CITED BY APPLICANT  (Use several sheets if necessary)		RECEIVED SEP 19 2001 Technology Center 2100 Group 2151	
		Applicants: Antes, et al.	
		Filing Date: January 17, 2001	



## U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
ES	1	4,403,286	09/06/83	Fry et al.	364	200	
	2	4,495,570	01/22/85	Kitajima et al.	364	200	
	3	4,577,272	03/18/86	Ballew et al.	364	200	
	4	5,031,089	07/09/91	Liu et al.	364	200	
	5	5,675,739	10/07/97	Eilert et al.	395	200	
	6	5,917,997	06/29/99	Bell et al.	395	182.02	
	7	5,923,854	07/13/99	Bell et al.	395	200.73	
	8	5,935,215	08/10/99	Bell et al.	709	239	
	9	5,951,650	09/14/99	Bell et al.	709	238	

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes   No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

10	A. Dahlin, et al. <i>EDDIE A Robust and Scalable Internet Server</i> . Ericsson Telecom AB, Stockholm, Sweden (May 1998).
11	Brochure entitled, <i>ACEdirector™ 8-PORT 10/100 MBPS ETHERNET SWITCH</i> . Alteon WebSystems, San Jose, CA (1999)
12	Brochure entitled, <i>Enhancing Web User Experience with Global Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (June 1999).
13	Brochure entitled, <i>The Next Step in Server Load Balancing</i> . Alteon WebSystems, San Jose, CA (November 1999).
14	Mac Devine. Presentation entitled, <i>TCP/IP Application Availability and Workload Balancing in the Parallel Sysplex</i> . Share Technical Conference (August 22-27, 1999).
15	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2;1.3.1.2.5">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.1.2;1.3.1.2.5 Virtual IP Addressing (VIPA)</a> ; Excerpt from IP Configuration for OS/390, pp. 1-4 (1998)
16	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2;1.3.20">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2;1.3.20 DEVICE and LINK Statement—Virtual Devices (VIPA)</a> ; Excerpt from IP Configuration for OS/390, pp. 1-3 (1998)
17	<a href="http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2;1.3.23">http://w3.enterlib.ibm.com:80/cgi-bin/bookmgr/books/F1AF7001/1.3.2;1.3.23 HOME Statement</a> ; Excerpt from IP Configuration for OS/390, pp. 1-6 (1998)

EXAMINER \_\_\_\_\_

DATE CONSIDERED

EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.